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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/603,424 06/24/2003		Branislav N. Meandzija	15685P208	15685P208 3310		
45222	7590	10/10/2006		EXAMINER		
ARRAYCO			ARANI, TAGHI T			
SEVENTH I		V D	ART UNIT	PAPER NUMBER		
LOS ANGE	LES, CA	90025-1030	2131			

DATE MAILED: 10/10/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)	nt(s)		
Office Action Summary		10/603,424	MEANDZIJA ET	MEANDZIJA ET AL.		
		Examiner	Art Unit	T		
	•	Taghi T. Arani	2131			
	The MAILING DATE of this communication app		e correspondence a	nddress		
Period fo	or Reply					
WHIC - Exte after - If NC - Failu Anv	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DONG INTERPRETATION OF THE MAILING	ATE OF THIS COMMUNICATI 36(a). In no event, however, may a reply be will apply and will expire SIX (6) MONTHS fr	e timely filed  rom the mailing date of this  NED (35 U.S.C. § 133).			
Status						
1)⊠	Responsive to communication(s) filed on 17 Ju					
2a)⊠	This action is <b>FINAL</b> . 2b) This action is non-final.					
3)□	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
	closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11,	453 O.G. 213.			
Disposit	ion of Claims		•			
4)⊠	Claim(s) 1-48 is/are pending in the application.					
•	4a) Of the above claim(s) is/are withdraw	vn from consideration.				
	Claim(s) is/are allowed.					
	Claim(s) <u>1-8,10-24,26-40 and 42-48</u> is/are reje	cted.				
	Claim(s) 9,25 and 41 is/are objected to.	r alaction requirement				
8)[_]	Claim(s) are subject to restriction and/or	r election requirement.				
Applicati	ion Papers					
9)[	The specification is objected to by the Examine	r.				
10)[	The drawing(s) filed on is/are: a) ☐ acce	epted or b)  objected to by th	e Examiner.			
	Applicant may not request that any objection to the	drawing(s) be held in abeyance.	3ee 37 CFR 1.85(a).	SED 4 424(d)		
. —	Replacement drawing sheet(s) including the correct	ion is required if the drawing(s) is	ce Action or form F	PTO-152		
11)[	The oath or declaration is objected to by the Ex	ammer. Note the attached Om	ce Action of form.	10 102.		
Priority ι	under 35 U.S.C. § 119					
	Acknowledgment is made of a claim for foreign ☐ All b) ☐ Some * c) ☐ None of:	priority under 35 U.S.C. § 119	(a)-(d) or (f).			
	1. Certified copies of the priority documents					
	2. Certified copies of the priority documents	s have been received in Applic	ation No	ol Stago		
	3. Copies of the certified copies of the prior		ived in this Nationa	ii Stage		
* 0	application from the International Bureau See the attached detailed Office action for a list		ived.			
	see the attached detailed Office action for a first		Ta	ah: T. Arani		
			Phi	gh: T. Avani maig Examina Aug 131 Tor U. T. crois 9/30/06		
Attachmen		🗖	(DTO 442)	Tallion:		
1) Notic	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summa Paper No(s)/Mail	ary (P10-413) Date	or universe		
3) 🔯 Infon	mation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date <u>08/08/2006</u> .	5) Notice of Informa 6) Other:	il Patent Application	9/30/06		

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#### **DETAILED ACTION**

- 1. The text of those sections of Title 35 U.S. Code not included in this section can be found in the prior office action.
- 2. The prior office actions are incorporated herein by reference. In particular, the observations with respect to claim language, and response to previously presented arguments.
- 3. Claims 7-9, 23-26, 39-41 have been amended.
- 4. Claims 1-48 have been examined and are pending are pending.

#### Response to Arguments

5. Applicant's arguments filed 07/17/2006 regarding the rejection of the claims 1-8, 10-24, 26-40, and 42-48 under 35 U.S.C. 103(a) have been fully considered but they are not persuasive. With respect to independent claims 1, 17, 33 Applicant has merely argued that prior art of record Kaliski and Persson do not teach or disclose sending a message to a wireless access point, the message including an encrypted shared secret, a user terminal certificate, and an authenticator string, as recited in claims. With respect to independent claims 26 and 42, Applicant has argued prior art of record to Kaliski and hind do not teach or disclose "a user terminal of a wireless access network". Applicant has concluded that claims 2-7, 18-23, 34-39, 27-33, 40-41, 43-48 are not obvious in view of Kaliski, Persson and Hind for at least the aforementioned reasons.

The examiner responds that prior art of record to Kaliski does disclose the claimed "wireless access point" and "a user terminal of a terminal of a wireless access network" by disclosing (Kaliski, claim 5) "transmitting credential verification information from the client to the server over an encrypted communications channel, the communications channel comprising a

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wireless communications channel", emphasis added by the examiner. That is, client/server of Kaliski communicating via a wireless communications channel necessarily are wireless client and server corresponding to the claimed "user terminal of a wireless network" and "wireless access point".

Applicants still have failed to identify specific claim limitations', which would define a patentable distinction over prior arts.

Therefore, the examiner asserts that cited prior art does teach or suggest the subject matter recited in independent claims 1, 17, 26, 33 and 42 and in subsequent dependent claims 2-8, 10-16, 18-24, 27-32, 34-40, 43-48. Accordingly, rejections for claims 1-8, 10-24, 26-40, 42-48 are respectfully maintained.

However, Applicant's Amendment to claims 9, 15 and 41 have overcome the prior rejections of claims 9, 15 and 41 and are found by the Examiner allowable subject matter.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

6. Claims 7-8, 23-24, 39-40 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The term "possible authentication messages" in claim 7, 23 and 39 is a relative term, which renders the claim indefinite. The term "possible authentication messages" is not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree,

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and one of ordinary skill in the art would not be reasonably appraised of the scope of the invention.

Dependent claims 8, 24 and 40 are also rejected by virtue of their dependencies.

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

7. Claims 1, 4-6, 17, 20-22, 33, 36-38 are rejected under 35 U.S.C. 103(a) as being unpatentable over prior art of record, US patent 6,189,098 to Kaliski, Jr. and further in view Hind et al (hereinafter "Hind").

As per claims 1, 17 and 33, Kaliski, Jr. teaches a method, a user terminal and a machine-readable medium performed by a user terminal of a wireless access network, the method comprising:

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generating a shared secret to be provided to an access point of the wireless access network (col. 4, lines 44-45, i.e. KSS||TS, see also claim 5);

encrypting the shared secret with an access point public key (col. Col. 4, lines 39-55, i.e. {KSS||TS}PUBserv);

sending a message to the access point, the message including the encrypted shared secret, a user terminal certificate (col. 9, lines 8-12).

While Kaliski, Jr. teaches generating an authenticator (col. 10, lines 30-50, i.e. concatenating a time-varying value with the certificate and encrypting the result using the shared secret) Kaliski, Jr. does not teach but Hind teaches the authenticator string demonstrating possession of a user terminal private key and sending a message to the access point, the message including the authenticator string (col.12, lines 42-55).

It would have been obvious to one of ordinary skill in the art to modify the teachings of Kaliski, Jr. to include an authenticator string demonstrating possession the users terminal private key as taught by hind with a motivation that an imposter would not be able to impersonate the user terminal of Kaliski, Jr. by replaying the certificate in transmission (Hind, col. 12, lines 55-62).

As per claims 4, 20 and 36, Kaliski, Jr. as modified teaches the method, the user terminal and the machine-readable medium of claims 1, 17 and 33, wherein generating the authenticator string comprises generating an authenticator message and signing the authenticator message with the user terminal private key (Hind, col. 12, lines 52-54).

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As per claims 5, 21 and 37, Kaliski, Jr. as modified teaches the method, the user terminal and the machine-readable medium of claims 4, 20 and 36 respectively, wherein signing the authenticator message comprises:

generating a digest of the authenticator message; and encrypting the authenticator message digest with the user terminal private key (Hind, col. 12, lines 54-55, Hind uses SSL/TSL protocol in signing the authenticator string using the terminal private key). The examiner asserts that generating a digest and encrypting the message digest with the private key of signer (user terminal) is inherent in SSL/TSL protocol disclosed by Hind in that the SSL protocol is designed to support a range of choices for specific security methods used for cryptography, message digests, and digital signatures.

As per claims 6, 22 and 38, Once modified, Kaliski, Jr. teaches the method, the user terminal and the machine-readable medium of claims 4, 20 and 36 respectively, wherein the authenticator message comprises a time parameter and at least part of the shared secret (col. 10, lines 30-50, i.e. Kaliski, Jr.'s authenticator string (i.e. certificate concatenated with time-varying value).

8. Claims 2-3, 18-19 and 34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kaliski, Jr. and Hind as applied to claims 1, 17 and 33 above, and further in view of prior art of record to Persson et al., US patent 6,754,824 (hereinafter "Person").

As per claims 2, 18 and 34-35, Kaliski teaches the method, the user terminal and the machine-readable medium of claims 1, 17 and 33 respectively, except wherein the user terminal

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certificate is scrambled, using a pseudo-random sequence generator initialized with a part of the shared secret, before being included in the message.

However, in an analogous art, Persson is directed to telecommunications systems and methods wherein the identity of the transmitting node is verified by modulating the CRC code utilizing a sequence known only to the participating parties. The modified CRC is generated by both the transmitting node and the receiving node initializing a LFSR register by a common key known only to the participating nodes (i.e. a pseudo-random sequence generated by a linear feedback shift register initialized with a part of the shared secrete (Persson, col. 2, lines 5-23).

Therefore, it would have been obvious to one of ordinary skill at the time the invention was made to employ the teachings of Persson within the method and system of Kaliski for combining Kaliski's certificate with a pseudo-random sequence generated by a linear feedback shift register initialized with a part of the shared secret in order to verify both the authenticity of the received certificate and the identity of transmitting node and to deter unauthorized party to replace the participating nodes if week encryption or no encryption is switched on after authentication ( Persson, col. 1, lines 35-49).

As per claims 3, 19 and 35, Kaliski Jr. The method, the user terminal and the machine-readable medium of claims 2, 18 and 34 respectively, wherein the remainder of the shared secret comprises a master secret to be used for symmetric key cryptography between the user terminal and the access point (col. 4, lines 42-55, i.e. KSS is used for symmetric key cryptography, the remainder of KSS||TS).

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9. Claims 10-16, 26-32 and 42-48 are rejected under 35 U.S.C. 103(a) as being unpatentable over US patent 6,189,098 to Kaliski, Jr. and further in view of Hind et al (hereinafter "Hind").

As per claims 10 and 42, Kaliski, Jr. teaches a method, a machine-readable medium performed by an access point of a wireless access network, comprising:

receiving a message from a user terminal of the wireless access network (col. 4, line 56 through col. 5 line 10), the message containing a shared secret encrypted with an access point public key, a user terminal certificate, (col. 9, lines 8-18);

decrypting the shared secret using an access point private key (col. 9, lines 3-7);

Kaliski does not teach but Hind teaches authenticating the user terminal by checking the authenticator string using a user terminal public key included in the user terminal certificate to verify possession of the user terminal private key by the user terminal (Hind, col. 7, line 57 through col. 8, line 23, see also col. 6, lines 10-25).

It would have been obvious to one of ordinary skill in the art to modify Kaliski's certificate with Hind's user terminal certificate containing identification of user terminal and a user terminal public key corresponding to a user terminal private key, wherein the user terminal certificate is used to authenticate the user terminal with a motivation to couple Kaliski's certificate with both users of the terminal and the terminal in order to solve the prior art problems associated with users' certificates in enterprise situations where each application (user) as well as each device may require a different levels of security, requiring the ability to allow different levels of security accesses (Hind, col. 7, lines 12-24).

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As per claims 11 and 43, Kaliski Jr. teaches the method and the machine-readable medium of claims 10 and 42 respectively, wherein the user terminal certificate is scrambled, and the access point unscrambles the user terminal certificate using the shared secret (col. 4, lines 39-55, Fig. 3A and associated text).

As per claims 12 and 44, Kaliski Jr. as modified teaches the method and the machine-readable medium of claims 10 and 42 respectively, wherein checking the authenticator string comprises decrypting the authenticator string using the user public key (Hind, col. 7, line 57 through col. 8, line 23, see also col. 6, lines 10-25, col. 12, lines 42-63).

As per claims 13 and 45, Kaliski Jr. as modified teaches the method and machine-readable medium of claims 12 and 45 respectively, wherein checking the authenticator string further comprises generating and authenticator message, generating a digest of the authenticator message, and comparing the authenticator message digest with the decrypted authenticator string (Hind, col. 12, lines 54-55, Hind uses SSL/TSL protocol in signing the authenticator string using the terminal private key). The examiner asserts that generating a digest and encrypting the message digest with the private key of signer (user terminal) is inherent in SSL/TSL protocol disclosed by Hind in that the SSL protocol is designed to support a range of choices for specific security methods used for cryptography, message digests, and digital signatures).

As per claims 14 and 46, once modified, Kaliski Jr. teaches the method and the machine-readable medium of claims 13 and 45 respectively, wherein the authenticator message comprises at least part of the shared secret (col. 9, lines 8-19, see also col. 10, lines 30-50).

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As per claims 15 and 47, Kaliski Jr. teaches the method and the machine-readable medium of claims 10 and 42 respectively, wherein the user terminal certificate is signed by a certificate authority trusted by the access point (col. 4, lines 4-25, see also col. 5, lines 5-10).

As per claims 16 and 48, Kaliski Jr. teaches the method and the machine-readable medium of claims 10 and 42, wherein the shared secret is to be used for symmetric key cryptography between the access point and the user terminal (col. 4, lines 39-55, the shared secret session key KSS is used for symmetric key encryption between the client and the server).

As per claims 26, Kaliski Jr. teaches an access point comprising:

a receiver to receive a message from a user terminal, the message containing a shared secret encrypted by the user terminal with an access point public key, a user terminal certificate including a user terminal public key (col. 4, lines 56 through col. 5, line 10, Fig. 3b and associated text);

a processor coupled to the receiver to decrypt the shared secret using an access point private key (col. 4, line 59-60);

While Kaliski Jr. teaches a processor coupled to the receiver to decrypt the shared secret using an access point private key (col. 4, line 59-60), Kaliski Jr. does not teach authenticating the user terminal by verifying possession by the user terminal of the user terminal private key.

However, Hind teaches the user terminal certificate includes an identification of the user terminal and a user terminal public key which corresponds to user terminal private key, wherein

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the user terminal certificate is used to authenticate the user terminal by verifying possession by the user terminal of the user terminal private key (Hind, col. 12, lines 42-63).

It would have been obvious to one of ordinary skill in the art to Kaliski's method and system with the teachings of Hind to authenticate the user terminal by verifying possession by the user terminal of the user terminal private key with a motivation to provide assurance that the data has not been changed in transmission (Hind, col. 8, lines 12-23);

As per claim 27, Kaliski Jr. teaches the access point of claim 26, wherein the user terminal certificate is scrambled, and the processor is further to unscramble the user terminal certificate using the shared secret (col. 4, lines 39-55, Fig. 3A and associated text).

As per claim 28, Kaliski Jr. as modified teaches the access point of claim 26, wherein the processor verifies possession of the user terminal private key by decrypting the authenticator string using the user terminal public key (Hind, col. 7, line 57 through col. 8, line 23, see also col. 6, lines 10-25, col. 12, lines 42-63)

As per claim 29, Kaliski Jr. as modified teaches the access point of claim 28, the processor further verifies possession of the user terminal private key by generating an authenticator message, generating a digest of the authenticator message, and comparing the authenticator message digest with the decrypted authenticator string ((Hind, col. 12, lines 54-55, Hind uses SSL/TSL protocol in signing the authenticator string using the terminal private key). The examiner asserts that generating a digest and encrypting the message digest with the private key of signer (user terminal) is inherent in SSL/TSL protocol disclosed by Hind in that the SSL

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protocol is designed to support a range of choices for specific security methods used for cryptography, message digests, and digital signatures).

As per claim 30, Once modified, Kaliski Jr. teaches the access point of claim 29, wherein the authenticator message comprises at least part of the shared secret (col. 9, lines 8-19, see also col. 10, lines 30-50).

As per claim 31, Kaliski Jr. teaches the access point of claim 26, wherein the user terminal certificate is signed by a certificate authority trusted by the access point (col. 4, lines 4-25, see also col. 5, lines 5-10).

As per claim 32, Kaliski Jr. teaches the access point of claim 26, wherein the shared secret is to be used for symmetric key cryptography between the access point and the user terminal (col. 4, lines 39-55, the shared secret session key KSS is used for symmetric key encryption between the client and the server).

### Allowable Subject Matter

10. Claims 9, 25 and 41 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### Action is Final

11. THIS ACTION IS FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

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MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

#### Conclusion

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Taghi T. Arani whose telephone number is (571) 272-3787. The examiner can normally be reached on 8:00-5:30 Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on (571) 272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9/197 (toll-free).

Taghi T. Arani, Ph.D.

Primary Examiner

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